

Amendment in the Nature of a Substitute

To H.R. 4241

Offered by Mr. Goodling

Strike all after the enacting clause and insert the following:

1 SECTION 1. SHORT TITLE.

2 This Act may be cited as the “Head Start Amend-
3 ments of 1998”.

4 SEC. 2. TABLE OF CONTENTS.

5 The table of contents for this Act is as follows:

- Sec. 1. Short title.
- Sec. 2. Table of contents.
- Sec. 3. Statement of purpose.
- Sec. 4. Definitions.
- Sec. 5. Financial assistance for Head Start programs.
- Sec. 6. Authorization of appropriations.
- Sec. 7. Allotment of funds.
- Sec. 8. Designation of Head Start agencies.
- Sec. 9. Quality standards.
- Sec. 10. Powers and functions of Head Start agencies.
- Sec. 11. Head Start transition.
- Sec. 12. Submission of plans to Governors.
- Sec. 13. Administrative requirements and standards.
- Sec. 14. Participation in Head Start programs.
- Sec. 15. Early Head Start programs for families with infants and toddlers.
- Sec. 16. Technical assistance and training.
- Sec. 17. Professional requirements.
- Sec. 18. Family literacy services.
- Sec. 19. Research and evaluation.
- Sec. 20. Reports.
- Sec. 21. Repeal of consultation requirement.
- Sec. 22. Repeal of Head Start Transition Project Act.
- Sec. 23. Effective date; application of amendments.

1 **SEC. 3. STATEMENT OF PURPOSE.**

2 Section 636 of the Head Start Act (42 U.S.C. 9831)
3 is amended to read as follows:

4 **“SEC. 636. STATEMENT OF PURPOSE.**

5 “It is the purpose of this subchapter to promote
6 school readiness by enhancing the social and cognitive de-
7 velopment of low-income children through the provision,
8 to low-income children and their families, of health, edu-
9 cational, nutritional, social, and other services that are de-
10 termined, based on family needs assessments, to be nec-
11 essary.”.

12 **SEC. 4. DEFINITIONS.**

13 Section 637 of the Head Start Act (42 U.S.C. 9832)
14 is amended—

15 (1) by redesignating paragraphs (3) through
16 (14) as paragraphs (4) through (15), respectively;

17 (2) in paragraph (2)—

18 (i) by striking “and the Commonwealth of
19 the Northern Mariana Islands”; and

20 (ii) by inserting “of the United States, and
21 the Commonwealth of the Northern Mariana Is-
22 lands, but for fiscal years ending before October
23 1, 2001, also means” after “Virgin Islands”;
24 and

25 (iii) by inserting “and” after “Marshall Is-
26 lands”;

1 (3) by inserting after paragraph (2) the follow-
2 ing:

3 “(3) The term ‘child with a disability’ means—

4 “(A) a child with a disability, as defined in
5 section 602(3) of the Individuals with Disabil-
6 ities Education Act; and

7 “(B) an infant or toddler with a disability,
8 as defined in section 632(5) of such Act.”;

9 (4) by striking paragraph (5) (as redesignated
10 in paragraph (1)) and inserting the following:

11 “(5) The term ‘family literacy services’ means
12 services that—

13 “(A) are provided to participants who re-
14 ceive the services on a voluntary basis;

15 “(B) are of sufficient intensity, and of suf-
16 ficient duration, to make sustainable changes in
17 a family (such as eliminating or reducing de-
18 pendence on income-based public assistance);
19 and

20 “(C) integrate each of—

21 “(i) interactive literacy activities be-
22 tween parents and their children;

23 “(ii) training for parents on being
24 partners with their children in learning;

1 “(iii) parent literacy training, includ-
2 ing training that contributes to economic
3 self-sufficiency; and

4 “(iv) appropriate instruction for chil-
5 dren of parents receiving the parent lit-
6 eracy training.”;

7 (5) in paragraph (7) (as redesignated in para-
8 graph (1)), by adding at the end the following:
9 “Nothing in this paragraph shall be construed to re-
10 quire an agency to provide services to a child who
11 has not reached the age of compulsory school attend-
12 ance for more than the number of hours per day
13 permitted by State law for the provision of services
14 to such a child.”; and

15 (6) by striking paragraph (13) (as redesignated
16 in paragraph (1)) and inserting the following:

17 “(13) The term “migrant or seasonal Head
18 Start program” means—

19 “(A) with respect to services for migrant
20 farmworkers, a Head Start program that serves
21 families who are engaged in agricultural labor
22 and who have changed their residence from 1
23 geographic location to another in the preceding
24 2-year period; and

1 “(B) with respect to services for seasonal
2 farmworkers, a Head Start program that serves
3 families who are engaged primarily in seasonal
4 agricultural labor and who have not changed
5 their residence to another geographic location in
6 the preceding 2-year period.”; and

7 (7) by adding at the end the following:

8 “(16) The term ‘reliable and replicable’, used
9 with respect to research, means an objective, valid,
10 scientific study that—

11 “(A) includes a rigorously defined sample
12 of subjects, that is sufficiently large and rep-
13 resentative to support the general conclusions of
14 the study;

15 “(B) relies on measurements that meet es-
16 tablished standards of reliability and validity;

17 “(C) is subjected to peer review before the
18 results of the study are published; and

19 “(D) discovers effective strategies for en-
20 hancing the development and skills of chil-
21 dren.”.

22 **SEC. 5. FINANCIAL ASSISTANCE FOR HEAD START PRO-**
23 **GRAMS.**

24 Section 638(1) of the Head Start Act (42 U.S.C.
25 9833(1)) is amended—

1 (1) by striking “aid the” and inserting “enable
2 the”; and

3 (2) by striking the semicolon and inserting
4 “and attain school readiness;”.

5 **SEC. 6. AUTHORIZATION OF APPROPRIATIONS.**

6 Section 639 of the Head Start Act (42 U.S.C. 9834)
7 is amended—

8 (1) in subsection (a)—

9 (A) by inserting “\$4,660,000,000 for fiscal
10 year 1999 and” after “subchapter”; and

11 (B) by striking “1995 through 1998” and
12 inserting “2000 through 2003”; and

13 (2) in subsection (b), by striking paragraphs
14 (1) and (2) and inserting the following:

15 “(1) for each of the fiscal years 1999 through
16 2003, not more than \$35,000,000 and not less than
17 the aggregate amount made available to carry out
18 section 642(d) of this Act and the Head Start Tran-
19 sition Project Act (42 U.S.C. 9855–9855g) for fiscal
20 year 1998, to carry out activities authorized under
21 section 642A;

22 “(2) not more than \$5,000,000 for each of the
23 fiscal years 1999 through 2003 to carry out impact
24 studies under section 649(g);

1 “(3) not more than \$12,000,000 for fiscal year
2 1999, and such sums as may be necessary for each
3 of the fiscal years 2000 through 2003, to carry out
4 other research, demonstration, and evaluation activi-
5 ties, including longitudinal studies, under section
6 649; and

7 “(4) not less than \$5,000,000 for each of the
8 fiscal years 1999 through 2003, to carry out activi-
9 ties authorized under section 648B.”.

10 **SEC. 7. ALLOTMENT OF FUNDS.**

11 (a) ALLOTMENTS.—Section 640(a) of the Head Start
12 Act (42 U.S.C. 9835(a)) is amended—

13 (1) in paragraph (2)—

14 (A) in subparagraph (A)—

15 (i) by striking “and migrant” the 1st
16 place it appears and all that follows
17 through “handicapped children”, and in-
18 serting “Head Start programs and services
19 for children with disabilities and migrant
20 or seasonal Head Start programs and serv-
21 ices for children with disabilities”; and

22 (ii) by striking “and migrant” each
23 other place it appears and inserting “Head
24 Start programs and by migrant or sea-
25 sonal; and

1 (iii) by striking “1994” and inserting
2 1998;

3 (B) in subparagraph (B) by striking “(B)
4 payments” and all that follows through “Is-
5 lands” and inserting the following:

6 “(B) payments, subject to paragraph (7)—

7 “(i) to Guam, American Samoa, the Com-
8 monwealth of the Northern Mariana Islands,
9 and the Virgin Islands of the United States;
10 and

11 “(ii) for fiscal years ending before October
12 1, 2001, to the Federated States of Micronesia,
13 the Republic of the Marshall Islands, and
14 Palau;”;

15 (C) in subparagraph (C), by striking
16 “and” at the end;

17 (D) in subparagraph (D), by striking “re-
18 lated to the development and implementation of
19 quality improvement plans under section
20 641A(d)(2).” and inserting “carried out under
21 paragraph (1), (2), or (3) of section 641A(d)
22 relating to correcting deficiencies and conduct-
23 ing proceedings to terminate the designation of
24 Head Start agencies; and”;

1 (E) by inserting after subparagraph (D)
2 the following:

3 “(E) payments for research and evaluation ac-
4 tivities under section 649.”; and

5 (F) by adding at the end the following: “In
6 carrying out this subchapter, the Secretary
7 shall continue the administrative arrangement
8 responsible for meeting the needs of children of
9 migrant and seasonal farmworkers and Indian
10 children, and shall ensure that appropriate
11 funding is provided to meet such needs.”;

12 (2) in paragraph (3)—

13 (A) in subparagraph (A)(i) by striking
14 “equal” and all that follows through “activi-
15 ties” and inserting “subject to subsection (m)”;

16 (B) in subparagraph (B)—

17 (i) in clause (ii)—

18 (I) by striking “adequate quali-
19 fied staff” and inserting “adequate
20 numbers of qualified staff”; and

21 (II) by inserting “and children
22 with disabilities” before “, when”;

23 (ii) in clause (iv) by inserting “and to
24 encourage the staff to continually improve
25 their skills and expertise by informing staff

1 of the availability of State and Federal
2 loan forgiveness programs for professional
3 development” before the period at the end;

4 (iii) in clause (v) by inserting “and
5 collaboration efforts for such programs”
6 before the period at the end; and

7 (iv) by amending clause (vi) to read
8 as follows:

9 “(vi) Ensuring that such programs have ade-
10 quate numbers of qualified staff that can promote
11 language skills and literacy growth of children and
12 that provide children with a variety of skills that
13 have been identified, through research that is reli-
14 able and replicable, as predictive of later reading
15 achievement.”; and

16 (C) in subparagraph (C)—

17 (i) in clause (i)(I)—

18 (I) by striking “of staff” and in-
19 serting “of classroom teachers and
20 other staff”; and

21 (II) by striking “such staff” and
22 inserting “qualified staff, including re-
23 cruitment and retention pursuant to
24 achieving the requirements set forth
25 in section 648A(a)”;

1 (ii) by redesignating subclause (II) as
2 subclause (III);

3 (iii) by inserting after subclause (I)
4 the following:

5 “(II) Preferences in awarding salary increases,
6 in excess of cost of living allowances, shall be grant-
7 ed to classroom teachers and staff who obtain addi-
8 tional training or education related to their respon-
9 sibilities as employees of a Head Start program.”;

10 (iv) by amending clause (ii) to read as
11 follows:

12 “(ii) Of the amount remaining after carry-
13 ing out clause (i), the highest priority shall be
14 placed on training classroom teachers and other
15 staff to meet the education performance stand-
16 ards described in section 641A(a)(1)(B),
17 through activities—

18 “(I) to promote children’s language
19 and literacy growth, through techniques
20 identified through reliable, replicable re-
21 search;

22 “(II) to promote the acquisition of the
23 English language for non-English back-
24 ground children and families;

1 “(III) to foster children’s school readi-
2 ness skills through activities described in
3 section 648A(a)(1); and

4 “(IV) to provide training necessary to
5 improve the qualifications of the staff of
6 the Head Start agencies and to support
7 staff training, child counseling, and other
8 services necessary to address the problems
9 of children participating in Head Start
10 programs, including children from dysfunc-
11 tional families, children who experience
12 chronic violence in their communities, and
13 children who experience substance abuse in
14 their families.”;

15 (vi) by striking clause (v); and

16 (vii) by redesignating clause (vi) as
17 clause (v);

18 (D) in subparagraph (D)(i)(II) by striking
19 “and migrant” and inserting “Head Start pro-
20 grams and by migrant or seasonal;

21 (3) in paragraph (4)—

22 (A) in subparagraph (A), by striking
23 “1981” and inserting “1998”;

24 (B) by amending subparagraph (B) to read
25 as follows:

1 “(B) any amount available after all allotments
2 are made under subparagraph (A) for such fiscal
3 year shall be distributed proportionately on the basis
4 of the number of children less than 5 years of age
5 who live with families whose income is below the
6 poverty line.”; and

7 (C) by adding at the end the following:

8 “For each fiscal year the Secretary shall use the most re-
9 cent data available on the number of children under the
10 age of 5, from families below the poverty level that is con-
11 sistent with that published for counties, by the Depart-
12 ment of Commerce, unless the Secretary and the Secretary
13 of Commerce determine that use of the updated poverty
14 data would be inappropriate or unreliable. If the Secretary
15 and the Secretary of Commerce determine that some or
16 all of the data referred to in this paragraph are inappro-
17 priate or unreliable, they shall issue a report setting forth
18 their reasons in detail.”;

19 (4) in paragraph (5)—

20 (A) in subparagraph (B), by inserting be-
21 fore the period the following “and encourage
22 Head Start agencies to actively collaborate with
23 entities involved in State and local planning
24 processes in order to better meet the needs of
25 low-income children and families”;

1 (B) in subparagraph (C)—

2 (i) in clause (i)(I), by inserting “the
3 appropriate regional office of the Adminis-
4 tration for Children and Families and” be-
5 fore “agencies”;

6 (ii) in clause (iii), by striking “and”
7 at the end;

8 (iii) in clause (iv)—

9 (I) by striking “education, and
10 national service activities,” and insert-
11 ing “and education and community
12 service activities,”;

13 (II) by striking “and activities”
14 and inserting “activities”; and

15 (III) by striking the period and
16 inserting “(including coordination
17 with those State officials who are re-
18 sponsible for administering part C
19 and section 619 of the Individuals
20 with Disabilities Education Act (20
21 U.S.C. 1431–1445, 1419)), and serv-
22 ices for homeless children;”; and

23 (iv) by adding at the end the follow-
24 ing:

1 “(v) include representatives of the State Head
2 Start Association and local Head Start agencies in
3 unified planning regarding early care and education
4 services at both the State and local levels, including
5 collaborative efforts to plan for the provision of full-
6 working-day, full-calendar-year early care and edu-
7 cation services for children;

8 “(vi) encourage local Head Start agencies to
9 appoint a State level representative to speak on be-
10 half of Head Start agencies within the State on col-
11 laborative efforts described in subparagraphs (B)
12 and (D), and in clause (v); and

13 “(vii) encourage Head Start agencies to collabo-
14 rate with entities involved in State and local plan-
15 ning processes (including the State lead agency ad-
16 ministering the financial assistance received under
17 the Child Care and Development Block Grant Act of
18 1990 (42 U.S.C. 9858 et seq.) and the entities pro-
19 viding resource and referral services in the State) in
20 order to better meet the needs of low-income chil-
21 dren and families.”;

22 (C) by redesignating subparagraph (D) as
23 subparagraph (F); and

24 (D) by inserting after subparagraph (C)
25 the following:

1 “(D) Following the award of collaboration grants de-
2 scribed in subparagraph (B), the Secretary shall provide,
3 from the reserved sums, supplemental funding for collabo-
4 ration grants—

5 “(i) to States that develop statewide, regional,
6 or local unified plans for early childhood education
7 and child care that include the participation of Head
8 Start agencies; and

9 “(ii) to States that engage in other innovative
10 collaborative initiatives, including plans for collabo-
11 rative training and professional development initia-
12 tives for child care, early childhood education and
13 Head Start service managers, providers, and staff.

14 “(E)(i) The Secretary shall—

15 “(I) review on an ongoing basis evidence of bar-
16 riers to effective collaboration between Head Start
17 programs and other Federal child care and early
18 childhood education programs and resources;

19 “(II) develop initiatives, including providing ad-
20 ditional training and technical assistance and mak-
21 ing regulatory changes, in necessary cases, to elimi-
22 nate barriers to the collaboration; and

23 “(III) develop a mechanism to resolve adminis-
24 trative and programmatic conflicts between such
25 programs that would be a barrier to service provid-

1 ers, parents, or children, related to the provision of
2 unified services in the consolidation of funding for
3 child care services

4 “(ii) In the case of a collaborative activity funded
5 under this subchapter and another provision of law provid-
6 ing for Federal child care or early childhood education,
7 the use of equipment and nonconsumable supplies pur-
8 chased with funds made available under this subchapter
9 or such provision shall not be restricted to children en-
10 rolled or otherwise participating in the program carried
11 out under that subchapter or provision, during a period
12 in which the activity is predominantly funded under this
13 subchapter or such provision.”;

14 (5) by amending paragraph (6) to read as fol-
15 lows:

16 “(6)(A) From the amounts reserved and allotted pur-
17 suant to paragraphs (2) and (4), and except as provided
18 in subparagraphs (B) and (C)(i), the Secretary shall use
19 for grants for programs described in section 645A(a) a
20 portion of the combined total of such amount equal to—

21 “(i) 7 percent for fiscal year 1999;

22 “(ii) 7 percent for fiscal year 2000;

23 “(iii) 7 percent for fiscal year 2001;

24 “(iv) not more than 10 percent for fiscal year
25 2002;, and

1 “(v) not more than 10 percent for fiscal year
2 2003;
3 of the amount appropriated pursuant to section 639(a) for
4 the respective fiscal year.

5 “(B) If the Secretary does not submit to—

6 “(i) the Committee on Education and the
7 Workforce and the Committee on Appropriations of
8 the House of Representatives; and

9 “(ii) to the Committee on Labor and Human
10 Resources and the Committee on Appropriations of
11 the Senate.

12 by January 1, 2001, a report on the results of the Early
13 Head Start impact study currently being conducted by the
14 Secretary, then the amount required to be used in accord-
15 ance with subparagraph (A) for fiscal years 2002 and
16 2003 shall be 7 percent of the amount appropriated pursu-
17 ant to section 639(a) for the respective fiscal year.

18 “(C)(i) For any fiscal year for which the Secretary
19 determines that the amount appropriated under section
20 639(a) is not sufficient to permit the Secretary to use the
21 portion described in subparagraph (A) without reducing
22 the number of children served by Head Start programs
23 or negatively impacting the quality of Head Start services,
24 relative to the number of children served and the quality
25 of the services during the preceding fiscal year, the Sec-

1 retary may reduce the percentage of funds required to be
2 used as the portion described in subparagraph (A) for the
3 fiscal year for which the determination is made, but not
4 below the percentage required to be so used for the preced-
5 ing fiscal year.

6 “(ii) For any fiscal year for which the amount appro-
7 priated under section 639(a) requires a reduction in the
8 amount made available under this subchapter to Head
9 Start agencies and entities described in section 645A, rel-
10 ative to the amount made available to the agencies and
11 entities for the preceding fiscal year, adjusted as described
12 in paragraph (3)(A)(ii), the Secretary shall proportion-
13 ately reduce—

14 “(I) the amounts made available to the entities
15 for programs carried out under section 645A; and

16 “(II) the amounts made available to Head Start
17 agencies for Head Start programs.”; and

18 (6) by redesignating paragraph (7) as para-
19 graph (8); and

20 (7) by inserting after paragraph (6) the follow-
21 ing:

22 “(7)(A) For purposes of paragraph (2)(A), in
23 determining the need and demand for migrant or
24 seasonal Head Start programs (and services pro-
25 vided through such programs), the Secretary shall

1 consult with appropriate entities, including providers
2 of services for migrant or seasonal Head Start pro-
3 grams. The Secretary shall, after taking into consid-
4 eration the need and demand for migrant or sea-
5 sonal Head Start programs (and such services), en-
6 sure that there is an adequate level of such services
7 for eligible children of migrant farmworkers before
8 approving an increase in the allocation provided for
9 unserved eligible children of seasonal farmworkers.
10 In serving the children of seasonal farmworkers, the
11 Secretary shall ensure that services provided by mi-
12 grant or seasonal Head Start programs do not dupli-
13 cate or overlap with other Head Start services avail-
14 able in the same geographical area.

15 (B)(i) Funds available under this subsection for
16 payments to the Federated States of Micronesia, the
17 Republic of the Marshall Islands, and Palau shall be
18 used by the Secretary to make grants on a competi-
19 tive basis, pursuant to recommendations submitted
20 to the Secretary by the Pacific Region Educational
21 Laboratory of the Department of Education, to the
22 Federated States of Micronesia, the Republic of the
23 Marshall Islands, Palau, Guam, American Samoa,
24 and the Commonwealth of the Northern Mariana Is-

1 lands, for the purpose of carrying out Head Start
2 programs in accordance with this subchapter.

3 (ii) Not more than 5 percent of such funds may
4 be used by the Secretary to compensate the Pacific
5 Region Educational Laboratory of the Department
6 of Education for administrative costs incurred in
7 connection with making recommendations under
8 clause (i).

9 (iii) Notwithstanding any other provision of
10 law, the Federated States of Micronesia, the Repub-
11 lic of the Marshall Islands, and Palau shall not re-
12 ceive any funds under this subchapter for any fiscal
13 year that begins after September 30, 2001.”.

14 (b) CHILDREN WITH DISABILITIES.—Section 640(d)
15 of the Head Start Act (42 U.S.C. 9835(d)) is amended—

16 (1) by striking “1982” and inserting “1999”;

17 (2) by striking “(as defined in section 602(a) of
18 the Individuals with Disabilities Education Act)”;

19 and

20 (3) by adding at the end the following:

21 “Such policies and procedures shall require Head Start
22 programs to coordinate programmatic efforts with efforts
23 to implement part C and section 619 of the Individuals
24 with Disabilities Education Act (20 U.S.C 1431–1445,
25 1419).”.

1 (c) INCREASED APPROPRIATIONS.—Section 640(g) of
2 the Head Start Act (42 U.S.C. 9835(g)) is amended—

3 (1) in paragraph (1), by inserting at the end
4 the following: “In awarding funds to serve an in-
5 creased number of children, the Secretary shall give
6 priority to those applicants that provide full-work-
7 ing-day, full-calendar year Head Start services
8 through collaboration with entities carrying out pro-
9 grams that are in existence on the date of the alloca-
10 tion and with other private, nonprofit agencies. Any
11 such additional funds remaining may be used to
12 make nonstructural and minor structural changes,
13 and to acquire and install equipment, for the pur-
14 pose of improving facilities necessary to expand the
15 availability of Head Start programs and to serve an
16 increased number of children.”;

17 (2) in paragraph (2)—

18 (A) in subparagraph (A), by striking the
19 semicolon and inserting “, and the performance
20 history of the applicant in providing services
21 under other Federal programs (other than the
22 program carried out under this subchapter);”;

23 (B) in subparagraph (C), by striking the
24 semicolon and inserting “, and organizations

1 and public entities serving children with disabili-
2 ties;”;

3 (C) in subparagraph (D), by striking the
4 semicolon and inserting “and the extent to
5 which, and manner in which, the applicant dem-
6 onstrates the ability to collaborate and partici-
7 pate with other local community providers of
8 child care or preschool services to provide full-
9 working-day full-calendar-year services;”;

10 (D) in subparagraph (E), by striking “pro-
11 gram; and” and inserting “or any other early
12 childhood program;”;

13 (E) in subparagraph (F), by striking the
14 period and inserting a semicolon; and

15 (F) by adding at the end the following:

16 “(G) the extent to which the applicant proposes
17 to foster partnerships with other service providers in
18 a manner that will enhance the resource capacity of
19 the applicant; and

20 “(H) the extent to which the applicant, in pro-
21 viding services, will plan to coordinate with the local
22 educational agency serving the community involved
23 and with schools in which children participating in
24 a Head Start program operated by such agency will
25 enroll following such program, regarding the edu-

1 cation services provided by such local educational
2 agency.”;

3 (3) in paragraph (3) by striking “In” and in-
4 serting “Subject to subsection (m), in”; and

5 (4) by adding at the end the following:

6 “(4) Notwithstanding subsection (a)(2), after taking
7 into account subsection (a)(1), the Secretary may allocate
8 a portion of the remaining additional funds under sub-
9 section (a)(2)(A) for the purpose of increasing funds avail-
10 able for activities described in such subsection.”.

11 (d) REFERENCES.—Section 640(*l*) of the Head Start
12 Act (42 U.S.C. 9835(*l*)) is amended by inserting “or sea-
13 sonal” after “migrant” each place it appears.

14 (e) RELATIVE AVAILABILITY OF FUNDS FOR QUAL-
15 ITY AND FOR EXPANSION.—Section 640 of the Head Start
16 Act (42 U.S.C. 9835) is amended by adding at the end
17 the following:

18 “(m)(1) After complying with the requirement in sub-
19 section (g)(1) relating to maintaining the level of services
20 provided during the previous year, the Secretary shall
21 make the amount (if any) by which the funds appropriated
22 under section 639(a) for a fiscal year exceed the adjusted
23 prior year appropriation (as defined in subsection
24 (a)(3)(ii)), available as follows:

"For Fiscal Year:	Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available for Quality Activities Under Subsection (a)(3)(C):	Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available for Expansion Activities Under Subsection (g):	Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available to Qualifying Head Start Programs for Quality and Expansion Activities Under Subsections (a)(3)(C) and (g)
1999	65	25	10
2000	65	25	10
2001	45	45	10
2002	45	45	10
2003	25	65	10.

1 “(2) For purposes of paragraph (1), the term ‘quali-
2 fying Head Start program’ means a Head Start agency
3 or Head Start program that is—

4 “(A) in compliance with the quality standards
5 and result-based performance measures applicable
6 under subsections (a) and (b) of section 641A;

7 “(B) not required under subsection (d) of such
8 section to take a corrective action; and

9 “(C) making progress toward complying with
10 requirements applicable under section 648A(a)(2).

11 “(3) Funds required to be made available under this
12 subsection to qualifying Head Start programs shall be
13 made available on the same basis as allotments are deter-
14 mined under subsection (a)(4).”.

15 (f) CONFORMING AMENDMENT.—Section 644(f)(2)
16 of the Head Start Act (42 U.S.C. 9839(f)(2)) is amended
17 by striking “640(a)(3)(C)(v)” and inserting “640(g)”.

1 **SEC. 8. DESIGNATION OF HEAD START AGENCIES.**

2 Section 641 of the Head Start Act (42 U.S.C. 9836)
3 is amended—

4 (1) in subsection (a) by inserting “(in consulta-
5 tion with the chief executive officer of the State in-
6 volved, if such State expends non-Federal funds to
7 carry out Head Start programs)” after “the Sec-
8 retary” the last place it appears;

9 (2) in subsection (b) by striking “area designated by the
10 Bureau of Indian Affairs as near-reservation” and inserting
11 “off-reservation designated by an appropriate tribal government”;

12 (3) in subsection (c)—

13 (A) in paragraph (1)—

14 (i) by inserting “, in consultation with
15 the chief executive officer of the State if
16 such State expends non-Federal funds to
17 carry out Head Start programs,” after
18 “shall”; and

19 (ii) by striking “makes a finding” and
20 all that follows through the period at the
21 end, and inserting the following:

22 “determines that the agency involved fails to meet pro-
23 gram and financial management requirements, perform-
24 ance standards described in section 641A(a)(1), results-
25 based performance measures described in section 641A(b),
26 and other requirements established by the Secretary.”;

1 (B) in paragraph (2), by inserting “, in
2 consultation with the chief executive officer of
3 the State if such State expends non-Federal
4 funds to carry out Head Start programs,” after
5 “shall”; and

6 (C) by aligning the left margin of para-
7 graphs (2) and (3) with the left margin of para-
8 graph (1); and
9 (4) in subsection (d)—

10 (A) in the matter preceding paragraph (1),
11 by inserting after the 1st sentence the follow-
12 ing:

13 “In selecting from among qualified applicants for designa-
14 tion as a Head Start agency, the Secretary shall give pri-
15 ority to any qualified agency that functioned as a Head
16 Start delegate agency in the community and carried out
17 a Head Start program that the Secretary determines met
18 or exceeded such performance standards and such results-
19 based performance measures.”;

20 (B) in paragraph (3) by inserting “and
21 programs under part C and section 619 of the
22 Individuals with Disabilities Education Act (20
23 U.S.C 1431–1445, 1419)” after “(20 U.S.C.
24 2741 et seq.)”;

25 (C) in paragraph (4)—

1 (i) in subparagraph (A), by inserting
2 “(at home and in the center involved where
3 practicable)” after “activities”;

4 (ii) in subparagraph (D)—

5 (I) in clause (iii) by adding “or”
6 at the end;

7 (II) by striking clause (iv); and

8 (III) by redesignating clause (v)
9 as clause (iv);

10 (iii) in subparagraph (E) by striking
11 “and (D)” and inserting “and (E)”;

12 (iv) by redesignating subparagraphs
13 (D) and (E) and subparagraphs (E) and
14 (F), respectively; and

15 (v) by inserting after subparagraph
16 (C) the following:

17 “(D) to offer to parents of participating
18 children substance abuse counseling (either di-
19 rectly or through referral to local entities), in-
20 cluding information on drug-exposed infants
21 and fetal alcohol syndrome;”;

22 (C) by amending paragraph (7) to read as
23 follows:

24 “(7) the plan of such applicant to meet the
25 needs of non-English background children and their

1 families, including needs related to the acquisition of
2 the English language;”;

3 (D) in paragraph (8)—

4 (i) by striking the period at the end
5 and inserting “; and”; and

6 (ii) by redesignating such paragraph
7 as paragraph (9);

8 (E) by inserting after paragraph (7) the
9 following:

10 “(8) the plan of such applicant to meet the
11 needs of children with disabilities;”; and

12 (F) by adding at the end the following:

13 “(10) the plan of such applicant to collaborate
14 with other entities carrying out early childhood edu-
15 cation and child care programs in the community.”;

16 and

17 (5) by amending subsection (e) to read as fol-
18 lows:

19 “(e) If no agency in the community receives priority
20 designation and if there is no qualified applicant in the
21 community, then the Secretary shall designate an agency
22 to carry out the Head Start program in the community
23 on an interim basis until a qualified applicant from the
24 community is so designated.”.

1 **SEC. 9. QUALITY STANDARDS.**

2 (a) QUALITY STANDARDS.—Section 641A(a) of the
3 Head Start Act (42 U.S.C. 9836a(a)) is amended—

4 (1) in paragraph (1)—

5 (A) in the matter preceding subparagraph
6 (A), by inserting “, including minimum levels of
7 overall accomplishment,” after “regulation
8 standards”;

9 (B) in subparagraph (A), by striking “edu-
10 cation,”;

11 (C) by redesignating subparagraphs (B)
12 through (D) as subparagraphs (C) through (E),
13 respectively; and

14 (D) by inserting after subparagraph (A)
15 the following:

16 “(B)(i) education performance standards
17 to ensure the school readiness of children par-
18 ticipating in a Head Start program, on comple-
19 tion of the Head Start program and prior to
20 entering school; and

21 “(ii) additional school readiness perform-
22 ance standards (based on cognitive learning
23 abilities) to ensure that the children participat-
24 ing in the program, at a minimum—

25 “(I) develop phonemic, print, and
26 numeracy awareness;

1 “(II) understand and use oral lan-
2 guage to communicate for different pur-
3 poses;

4 “(III) understand and use increas-
5 ingly complex and varied vocabulary;

6 “(IV) develop and demonstrate an ap-
7 preciation of books; and

8 “(V) in the case of non-English back-
9 ground children, progress toward acquisi-
10 tion of the English language.”;

11 (2) by striking paragraph (2);

12 (3) in paragraph (3)—

13 (A) in subparagraph (B)(iii) by striking
14 “child” and inserting “early childhood edu-
15 cation and”; and

16 (B) in subparagraph (C)—

17 (i) in clause (i)—

18 (I) by striking “not later than 1
19 year after the date of enactment of
20 this section,”; and

21 (II) by striking “section 651(b)”
22 and all that follows through “section”
23 and inserting “this subsection”; and

24 (ii) in subclause (ii), by striking “No-
25 vember 2, 1978” and inserting “the date

1 of enactment of the Head Start
2 Amendments Act of 1998”; and

3 (4) by redesignating paragraphs (3) and (4) as
4 paragraphs (2) and (3), respectively.

5 (b) PERFORMANCE MEASURES.—Section 641A(b) of
6 the Head Start Act (42 U.S.C. 9836a(b)) is amended—

7 (1) in the heading, by inserting “RESULTS-
8 BASED” before “PERFORMANCE”;

9 (2) in paragraph (1)—

10 (A) by striking “Not later than 1 year
11 after the date of enactment of this section, the”
12 and inserting “The”;

13 (B) by striking “child” and inserting
14 “early childhood education and”; and

15 (C) by striking the period at the end and
16 inserting “, and the impact of the services pro-
17 vided through the programs to children and
18 their families.”;

19 (3) in paragraph (2)—

20 (A) in the heading, by striking “DESIGN”
21 and inserting “CHARACTERISTICS”;

22 (B) in the matter preceding subparagraph
23 (A), by striking “be designed” and inserting
24 “include the education and school-based readi-

1 ness performance standards described in sub-
2 section (a)(1)(B) and shall”;

3 (C) in subparagraph (A), by striking “to
4 assess” and insert “assess the impact of”;

5 (D) in subparagraph (B)—

6 (i) by striking “to”;

7 (ii) by striking “and peer review” and
8 inserting “, peer review, and program eval-
9 uation”; and

10 (iii) by inserting “not later than Jan-
11 uary 1, 1999” before the semicolon at the
12 end; and

13 (E) in subparagraph (C), by inserting “be
14 developed” before “for other”;

15 (4) in paragraph (3)(A) by striking “and by re-
16 gion” and inserting “, regionally, and locally”; and

17 (5) by adding at the end the following:

18 “(4) OTHER RESULTS-BASED PERFORMANCE
19 MEASURES.—In addition to other applicable results-
20 based performance measures, Head Start agencies
21 may establish their own results-based school readi-
22 ness performance measures.”.

23 (c) MONITORING.—Section 641A(c) of the Head
24 Start Act (42 U.S.C. 9836a(c)) is amended—

1 (1) in paragraph (1) by inserting “and results-
2 based performance measures” after “standards”;
3 and

4 (2) in paragraph (2)

5 (A) in subparagraph (B), by striking
6 “and” at the end;

7 (B) in subparagraph (C)—

8 (i) by inserting “(including children
9 with disabilities)” after “eligible children”;
10 and

11 (ii) by striking the period at the end
12 and inserting a semicolon; and

13 (C) by adding at the end the following:

14 “(D) include as part of the reviews of the
15 programs, a review and assessment of program
16 effectiveness, as measured in accordance with
17 the results-based performance measures devel-
18 oped pursuant to subsection (b) and with the
19 performance standards established pursuant to
20 subparagraphs (A) and (B) of subsection
21 (a)(1); and

22 “(E) seek information from the community
23 and the State about the performance of the pro-
24 gram and its efforts to collaborate with other

1 entities carrying out early childhood education
2 and child care programs in the community.”.

3 (d) TERMINATION.—Section 641A(d)(1) of the Head
4 Start Act (42 U.S.C. 9836a(d)(1)) is amended—

5 (1) in paragraph (1)—

6 (A) by inserting “or results-based perform-
7 ance measures described in subsection (b)”
8 after “subsection (a)”; and

9 (B) by amending subparagraph (B) to read
10 as follows:

11 “(B) with respect to each identified defi-
12 ciency, require the agency—

13 “(i) to correct the deficiency imme-
14 diately, if the Secretary finds that the defi-
15 ciency threatens the health or safety of
16 staff or program participants or poses a
17 threat to the integrity of Federal funds;

18 “(ii) to correct the deficiency not later
19 than 90 days after the identification of the
20 deficiency if the Secretary finds, in the dis-
21 cretion of the Secretary, that such a 90-
22 day period is reasonable, in light of the na-
23 ture and magnitude of the deficiency; or

24 “(iii) in the discretion of the Sec-
25 retary (taking into consideration the seri-

1 (B) in paragraph (8) by striking “and” at
2 the end;

3 (C) in paragraph (9) by striking the period
4 at the end and inserting “; and”;

5 (D) by redesignating paragraphs (6)
6 through (9) as paragraphs (7) through (10), re-
7 spectively;

8 (E) by inserting after paragraph (5) the
9 following:

10 “(6) offer to parents of participating children
11 substance abuse counseling (either directly or
12 through referral to local entities), including informa-
13 tion on drug-exposed infants and fetal alcohol syn-
14 drome;”; and

15 (F) by adding at the end the following:

16 “(11)(A) inform custodial parents in single-par-
17 ent families that participate in programs, activities,
18 or services carried out under this subtitle about the
19 availability of child support services for purposes of
20 establishing paternity and acquiring child support;

21 “(B) refer eligible parents to the child support
22 offices of State and local governments; and

23 “(C) establish referral arrangements with such
24 offices;”;

25 (2) in subsection (c)—

1 (A) by inserting “and collaborate” after
2 “coordinate”;

3 (B) by inserting “and part C and section
4 619 of the Individuals with Disabilities Edu-
5 cation Act (20 U.S.C 1431–1445, 1419)” after
6 “(20 U.S.C. 2741 et seq.)”; and

7 (C) by striking “section 402(g) of the So-
8 cial Security Act, and other” and inserting “the
9 State program carried out under the Child Care
10 and Development Block Grant Act of 1990 (42
11 U.S.C. 9858 et seq.), and other early childhood
12 education and development”; and

13 (4) in subsection (d)—

14 (A) in paragraph (1)—

15 (i) by striking “carry out” and all
16 that follows through “maintain” and in-
17 sserting “take steps to ensure, to the maxi-
18 mum extent possible, that children main-
19 tain”;

20 (ii) by inserting “and educational”
21 after “developmental”; and

22 (iii) by striking “to build” and insert-
23 ing “build”;

24 (B) by striking paragraph (2); and

1 (C) by redesignating paragraphs (3)
2 through (5) as paragraphs (2) through (4), re-
3 spectively.

4 **SEC. 11. HEAD START TRANSITION.**

5 The Head Start Act (42 U.S.C. 9831 et seq.) is
6 amended by inserting after section 642 the following:

7 **“SEC. 642A. HEAD START TRANSITION.**

8 “Each Head Start agency shall take steps to coordi-
9 nate with the local educational agency serving the commu-
10 nity involved and with schools in which children participat-
11 ing in a Head Start program operated by such agency will
12 enroll following such program, including—

13 “(1) developing and implementing a systematic
14 procedure for transferring, with parental consent,
15 Head Start program records for each participating
16 child to the school in which such child will enroll;

17 “(2) establishing channels of communication be-
18 tween Head Start staff and their counterparts in the
19 schools (including teachers, social workers, and
20 health staff) to facilitate coordination of programs;

21 “(3) conducting meetings involving parents,
22 kindergarten or elementary school teachers, and
23 Head Start program teachers to discuss the edu-
24 cational, developmental, and other needs of individ-
25 ual children;

1 “(4) organizing and participating in joint tran-
2 sition-related training of school staff and Head Start
3 staff;

4 “(5) developing and implementing a family out-
5 reach and support program in cooperation with enti-
6 ties carrying out parental involvement efforts under
7 title I of the Elementary and Secondary Education
8 Act of 1965 (20 U.S.C. 6301 et seq.);

9 “(6) assisting families, administrators, and
10 teachers in enhancing educational and developmental
11 continuity between Head Start services and elemen-
12 tary school classes; and

13 “(7) linking the services provided in such pro-
14 gram with the education services provided by such
15 local education agency.”.

16 **SEC. 12. SUBMISSION OF PLANS TO GOVERNORS.**

17 The first sentence of section 643 of the Head Start
18 Act (42 U.S.C. 9838) is amended—

19 (1) by striking “30 days” and inserting “45
20 days”;

21 (2) by striking “so disapproved” and inserting
22 “disapproved (for reasons other than failure to com-
23 ply with State health, safety, and child care laws, in-
24 cluding regulations applicable to comparable child
25 care programs in the State)”; and

1 (3) by inserting before the period “, as evi-
2 denced by a written statement of the Secretary’s
3 findings transmitted to such officer”.

4 **SEC. 13. ADMINISTRATIVE REQUIREMENTS AND STAND-**
5 **ARDS.**

6 Section 644(g) of the Head Start Act (42 U.S.C.
7 9839(g)) is amended by striking paragraph (3).

8 **SEC. 14. PARTICIPATION IN HEAD START PROGRAMS.**

9 (a) ELIGIBILITY.—Section 645(a) of the Head Start
10 Act (42 U.S.C. 9840(a)) is amended—

11 (1) in the last sentence of paragraph (1)—

12 (A) by striking “provide (A) that” and in-
13 serting the following:

14 “provide—

15 “(A) that”; and

16 (B) by amending subparagraph (B) to read
17 as follows:

18 “(B) pursuant to such regulations as the Sec-
19 retary shall prescribe, that programs assisted under
20 this subchapter may—

21 “(i) include a child who has been deter-
22 mined to meet the low-income criteria and who
23 is participating in a Head Start program in a
24 program year shall be considered to continue to
25 meet the low-income criteria through the end of

1 the succeeding program year. In determining,
2 for purposes of this paragraph, whether a child
3 who has applied for enrollment in a Head Start
4 program meets the low-income criteria, an en-
5 tity may consider evidence of family income
6 during the 12 months preceding the month in
7 which the application is submitted, or during
8 the calendar year preceding the calendar year
9 in which the application is submitted, whichever
10 more accurately reflects the needs of the family
11 at the time of application;

12 “(ii) permit not more than 25 percent of
13 the children enrolled in a Head Start program
14 to be children (without counting children with
15 disabilities) whose family income does not ex-
16 ceed 140 percent of the poverty line if the Head
17 Start agency carrying out such program—

18 “(I) has a community needs assess-
19 ment that demonstrates a need to provide
20 Head Start services to more of such chil-
21 dren who are members of families with in-
22 comes that exceed the poverty line but do
23 not exceed 140 percent of the poverty line;
24 and

1 “(II) ensures that, as a result of en-
2 rolling a greater percentage of children de-
3 scribed in this clause, there will not be a
4 reduction in, or denial of, Head Start serv-
5 ices to children who are eligible under sub-
6 paragraph (A);

7 “(iii) subject to the approval of the Sec-
8 retary, permit such Head Start agency that
9 demonstrates to the Secretary that it has made
10 reasonable efforts to enroll children eligible
11 under subparagraph (A) in the Head Start pro-
12 gram carried out by such agency, to charge par-
13 ticipation fees for children described in clause
14 (ii), consistent with the sliding fee schedule es-
15 tablished by the State under section 658E(c)(5)
16 of the of the Child Care and Development
17 Block Grant Act of 1990 (42 U.S.C.
18 9858c(c)(5)).”;

19 (2) by redesignating paragraph (2) as para-
20 graph (3); and

21 (3) by inserting after paragraph (1) the follow-
22 ing:

23 “(2) A Head Start agency that provides a Head Start
24 program with full-working-day services in collaboration
25 with other agencies or entities may collect a family copay-

1 ment to support extended day services if a copayment is
2 required in conjunction with the partnership. The copy-
3 ment shall not exceed the copayment charged to families
4 with similar incomes and circumstances who are receiving
5 the services through participation in a program carried
6 out by another agency or entity.”.

7 **SEC. 15. EARLY HEAD START PROGRAMS FOR FAMILIES**
8 **WITH INFANTS AND TODDLERS.**

9 (a) PROGRAM.—Section 645A of the Head Start Act
10 (42 U.S.C. 9840a) is amended—

11 (1) in the section heading, by inserting “**EARLY**
12 **HEAD START**” before “**PROGRAMS FOR**”;

13 (2) in subsection (a)—

14 (A) in paragraph (1) by striking “; and”
15 and inserting a period;

16 (B) by striking paragraph (2); and

17 (C) by striking “for—” and all that follows
18 through “(1)”, and inserting “for”;

19 (3) in subsection (b)—

20 (A) in paragraph (5), by inserting “(in-
21 cluding programs for infants and toddlers with
22 disabilities)” after “community”;

23 (B) in paragraph (7) by striking “and” at
24 the end;

1 (C) by redesignating paragraph (8) as
2 paragraph (9); and

3 (D) by inserting after paragraph (7) the
4 following:

5 “(8) ensure formal linkages with the agencies
6 described in section 644(b) of the Individuals With
7 Disabilities Education Act Amendments of 1997 and
8 providers of early intervention services for infants
9 and toddlers with disabilities under the Individuals
10 with Disabilities Education Act (20 U.S.C. 1400 et
11 seq.); and”;

12 (4) in subsection (c)—

13 (A) by striking “(a)(1)” and inserting
14 “(a)”; and

15 (B) in paragraph (2), by striking “(or
16 under” and all that follows through “(e)(3))”;

17 (5) in subsection (d)—

18 (A) in paragraph (1), by inserting “and”
19 at the end;

20 (B) by striking paragraph (2); and

21 (C) in paragraph (3) by redesignating such
22 paragraph as paragraph (2);

23 (6) by striking subsection (e);

24 (7) by redesignating subsections (f) and (g) as
25 subsections (e) and (f), respectively;

1 (8) in subsection (e) (as redesignated in para-
2 graph (7))—

3 (A) in the subsection heading, by striking
4 “OTHER”; and

5 (B) by striking “From the balance remain-
6 ing of the portion specified in section 640(a)(6),
7 after making grants to the eligible entities spec-
8 ified in subsection (e),” and inserting “From
9 the portion specified in section 640(a)(6),”;

10 (9) by striking subsection (h); and

11 (10) by adding at the end the following:

12 “(g) MONITORING, TRAINING, TECHNICAL ASSIST-
13 ANCE, AND EVALUATION.—

14 “(1) REQUIREMENT.—In order to ensure the
15 successful operation of programs assisted under this
16 section, the Secretary shall use funds from the por-
17 tion specified in section 640(a)(6) to monitor the op-
18 eration of such programs, evaluate their effective-
19 ness, and provide training and technical assistance
20 tailored to the particular needs of such programs.

21 “(2) TRAINING AND TECHNICAL ASSISTANCE
22 ACCOUNT.—

23 “(A) IN GENERAL.—Of the amount made
24 available to carry out this section for any fiscal
25 year, not less than 5 percent and not more than

1 10 percent shall be reserved to fund a training
2 and technical assistance account.

3 “(B) ACTIVITIES.—Funds in the account
4 may be used for purposes including—

5 “(i) making grants to, and entering
6 into contracts with, organizations with spe-
7 cialized expertise relating to infants, tod-
8 dlers, and families and the capacity needed
9 to provide direction and support to a na-
10 tional training and technical assistance
11 system, in order to provide such direction
12 and support;

13 “(ii) providing ongoing training and
14 technical assistance for regional and pro-
15 gram staff charged with monitoring and
16 overseeing the administration of the pro-
17 gram carried out under this section;

18 “(iii) providing ongoing training and
19 technical assistance for existing recipients
20 of grants under subsection (a) and support
21 and program planning and implementation
22 assistance for new recipients of such
23 grants; and

24 “(iv) providing professional develop-
25 ment and personnel enhancement activi-

1 ties, including the provision of funds to re-
2 cipients of grants under subsection (a) for
3 the recruitment and retention of qualified
4 staff with an appropriate level of education
5 and experience.”.

6 (b) CONFORMING AMENDMENT.—Section
7 640(a)(5)(D) of the Head Start Act (42 U.S.C.
8 9835(a)(5)(D)) is amended by striking “section
9 645(a)(1)(A)” and inserting “section 645(a)”.

10 **SEC. 16. TECHNICAL ASSISTANCE AND TRAINING.**

11 Section 648 of the Head Start Act (42 U.S.C. 9843)
12 is amended—

13 (1) in subsection (b)—

14 (A) in paragraph (1), by striking “and” at
15 the end;

16 (B) in paragraph (2), by striking the pe-
17 riod at the end and inserting “; and”; and

18 (C) by adding at the end the following:

19 “(3) ensure the provision of technical assistance
20 to assist Head Start agencies, entities carrying out
21 other child care and early childhood programs, com-
22 munities, and States in collaborative efforts to pro-
23 vide quality full-working-day, full-calendar-year serv-
24 ices, including technical assistance related to identi-

1 fying and assisting in resolving barriers to collabora-
2 tion.”; and

3 (2) in subsection (c)—

4 (A) by amending paragraph (1) to read as
5 follows:

6 “(1) give priority consideration to—

7 “(A) activities to correct program and
8 management deficiencies identified through re-
9 views pursuant to section 641A(c) (including
10 the provision of assistance to local programs in
11 the development of quality improvement plans
12 under section 641A(d)(2)); and

13 “(B) assisting Head Start agencies in—

14 “(i) ensuring the school readiness of
15 children; and

16 “(ii) meeting the education and school
17 readiness performance standards described
18 in this subchapter;”;

19 (B) in paragraph (2) by inserting “supple-
20 ment amounts provided under section
21 640(a)(3)(C)(ii),” after “(2)”;

22 (C) in paragraph (4)—

23 (i) by inserting “and implementing”
24 after “developing”; and

1 (ii) by striking “a longer day” and in-
2 serting the following: “the day, and assist
3 the agencies and programs in expediting
4 the sharing of information about innovative
5 models for providing full-working-day, full-
6 calendar-year services for children”;

7 (D) in paragraph (7), by striking “and” at
8 the end;

9 (E) in paragraph (8), by striking the pe-
10 riod at the end and inserting “; and”;

11 (F) by redesignating paragraphs (3)
12 through (8) as paragraphs (5) through (10), re-
13 spectively; and

14 (G) by inserting after paragraph (2) the
15 following:

16 “(3) assist Head Start agencies in the de-
17 velopment of collaborative initiatives with
18 States and other entities within the States, to
19 foster effective early childhood professional de-
20 velopment systems;

21 “(4) assist classroom and non-classroom
22 staff, including individuals in management and
23 leadership capacities, to understand the compo-
24 nents of effective family literacy services, gain
25 knowledge about proper implementation of such

1 services within a Head Start program, and re-
2 ceive assistance to achieve successful collabora-
3 tion agreements with other service providers
4 that allow the effective integration of family lit-
5 eracy services with the Head Start program;”.

6 **SEC. 17. PROFESSIONAL REQUIREMENTS.**

7 Section 648A of the Head Start Act (42 U.S.C.
8 9843a) is amended—

9 (1) by amending subsection (a) to read as fol-
10 lows:

11 “(a) CLASSROOM TEACHERS.—

12 “(1) PROFESSIONAL REQUIREMENTS.—The
13 Secretary shall ensure that each Head Start class-
14 room in a center-based program is assigned 1 teach-
15 er who has demonstrated competency to perform
16 functions that include—

17 “(A) planning and implementing learning
18 experiences that advance the intellectual and
19 physical development of children, including im-
20 proving readiness of children for school by de-
21 veloping their literacy and phonemic, print, and
22 numeracy awareness, their understanding and
23 use of oral language, their understanding and
24 use of increasingly complex and varied vocabu-

1 lary, their appreciation of books and their prob-
2 lem solving abilities;

3 “(B) establishing and maintaining a safe,
4 healthy learning environment;

5 “(C) supporting the social and emotional
6 development of children; and

7 “(D) encouraging the involvement of the
8 families of the children in a Head Start pro-
9 gram and supporting the development of rela-
10 tionships between children and their families.

11 “(2) DEGREE REQUIREMENTS.—The Secretary
12 shall ensure that not later than September 30, 2003,
13 at least 50 percent of all Head Start classrooms in
14 a center-based program are assigned 1 teacher who
15 has an associate, baccalaureate, or an advanced de-
16 gree in early childhood education or development
17 and shall require Head Start agencies to dem-
18 onstrate continuing progress each year to reach that
19 result. In the remaining balance of such classrooms,
20 there shall be assigned one teacher who has—

21 “(A) a child development associate (CDA)
22 credential that is appropriate to the age of the
23 children being served in center-based programs;

24 “(B) a State-awarded certificate for pre-
25 school teachers that meets or exceeds the re-

1 quirements for a child development associate
2 credential; or

3 “(C) a degree in a field related to early
4 childhood education with experience in teaching
5 preschool children and a State-awarded certifi-
6 cate to teach in a preschool program.”; and

7 (2) in subsection (b)(2)(B)—

8 (A) by striking “staff,” and inserting
9 “staff or”; and

10 (B) by striking “, or that” and all that fol-
11 lows through “families”.

12 **SEC. 18. FAMILY LITERACY SERVICES.**

13 The Head Start Act (42 U.S.C. 9831 et seq.) is
14 amended by inserting after section 648A the following:

15 **“SEC. 648B. FAMILY LITERACY SERVICES.**

16 “From funds reserved under section 639(b)(4), the
17 Secretary—

18 “(1) shall provide grants through a competitive
19 process, based upon the quality of the family literacy
20 service proposal and taking into consideration geo-
21 graphic and urban/rural representation, for not more
22 than 100 Head Start agencies to initiate provision
23 of family literacy services through collaborative part-
24 nerships with entities that provide adult education
25 services, entities carrying out Even Start programs

1 under part B of chapter 1 of title 1 of the Elemen-
2 tary and Secondary Education Act of 1965 (20
3 U.S.C. 274 et seq.), or entities that provide other
4 services deemed necessary for the provision of family
5 literacy services; and

6 “(2) may—

7 “(A) provide training and technical assist-
8 ance to Head Start agencies that already pro-
9 vide family literacy services;

10 “(B) designate as mentor programs, and
11 provide financial assistance to, Head Start
12 agencies that demonstrate effective implementa-
13 tion of family literacy services, based on im-
14 proved outcomes of children and their parents,
15 to enable such agencies to provide training and
16 technical assistance to other agencies that seek
17 to implement, or improve implementation of,
18 family literacy services; and

19 “(C) award grants or make other assist-
20 ance available to facilitate training and tech-
21 nical assistance to programs for development of
22 collaboration agreements with other service pro-
23 viders.

24 In awarding such grants or assistance, the Secretary shall
25 give special consideration to an organization that has ex-

1 perience in the development and operation of successful
2 family literacy services.”.

3 **SEC. 19. RESEARCH AND EVALUATION.**

4 Section 649 of the Head Start Act (42 U.S.C. 9844)
5 is amended—

6 (1) in subsection (d)—

7 (A) in paragraph (6), by striking “and” at
8 the end;

9 (B) in paragraph (7) by striking the period
10 at the end and inserting “; and”;

11 (C) by redesignating paragraphs (2)
12 through (7) as paragraphs (3) through (8), re-
13 spectively;

14 (D) by inserting after paragraph (1) the
15 following:

16 “(2) over a 5-year period, lead to the develop-
17 ment and rigorous evaluation of models for the inte-
18 gration of family literacy services with Head Start
19 programs, that demonstrate the ability to make posi-
20 tive gains for children participating in Head Start
21 programs and their parents, and dissemination of in-
22 formation about such models;” and

23 (E) by adding at the end the following:

24 “(9) study the experiences of small, medium,
25 and large States with Head Start programs in order

1 to permit comparisons of children participating in
2 the programs with eligible children who did not par-
3 ticipate in the programs, which study—

4 “(A) may include the use of a data set
5 that existed prior to the initiation of the study;
6 and

7 “(B) shall compare the educational
8 achievement, social adaptation, and health sta-
9 tus of the participating children and the eligible
10 nonparticipating children.

11 The Secretary shall ensure that an appropriate entity car-
12 ries out a study described in paragraph (9), and prepares
13 and submits to the appropriate committees of the Con-
14 gress a report containing the results of the study, not later
15 than September 30, 2002.”; and

16 (2) by adding at the end the following:

17 “(g) NATIONAL HEAD START IMPACT RESEARCH.—

18 “(1) ANALYSES OF DATA BASES.—The Sec-
19 retary shall obtain analyses of the following existing
20 databases to guide the evaluation recommendations
21 of the expert panel appointed under paragraph (2)
22 and to provide Congress with initial reports of po-
23 tential Head Start outcomes—

24 “(A) by use of The Survey of Income and
25 Program Participation (SIPP) conduct an anal-

1 ysis of the different income levels of Head Start
2 participants compared to comparable persons
3 who did not attend Head Start;

4 “(B) by use of The National Longitudinal
5 Survey of Youth (NLSY) which began gather-
6 ing data on children who attended Head Start
7 from 1988 on, examine the wide range of out-
8 comes measured within the Survey, including
9 cognitive, socio-emotional, behavioral, and aca-
10 ademic development;

11 “(C) by use of The Survey of Program Dy-
12 namics, the new longitudinal survey required by
13 the Personal Responsibility and Work Oppor-
14 tunity Reconciliation Act of 1996, to begin an-
15 nual reporting, through the duration of the
16 Survey, on Head Start attendees’ academic
17 readiness performance and improvements; and

18 “(D) to ensure that The Survey of Pro-
19 gram Dynamics be linked with the NLSY at
20 least once by the use of a common performance
21 test, to be determined by the expert panel, for
22 the greater national usefulness of the NLSY
23 database.

24 “(2) EXPERT PANEL.—

1 “(A) IN GENERAL.—The Secretary shall
2 appoint an independent panel consisting of ex-
3 perts in program evaluation and research, edu-
4 cation, and early childhood programs—

5 “(i) to review, and make recommenda-
6 tions on, the design and plan for the re-
7 search (whether conducted as a single as-
8 sessment or as a series of assessments),
9 described in paragraph (3), within 1 year
10 after the date of enactment of the Human
11 Services Reauthorization Act of 1998;

12 “(ii) to maintain and advise the Sec-
13 retary regarding the progress of the re-
14 search; and

15 “(iii) to comment, if the panel so de-
16 sires, on the interim and final research re-
17 ports submitted under paragraph (8).

18 “(B) TRAVEL EXPENSES.—The members
19 of the panel shall not receive compensation for
20 the performance of services for the panel, but
21 shall be allowed travel expenses, including per
22 diem in lieu of subsistence, at rates authorized
23 for employees of agencies under subchapter I of
24 chapter 57 of title 5, United States Code, while
25 away from their homes or regular places of

1 business in the performance of services for the
2 panel. Notwithstanding section 1342 of title 31,
3 United States Code, the Secretary may accept
4 the voluntary and uncompensated services of
5 members of the panel.

6 “(3) GENERAL AUTHORITY.—After reviewing
7 the recommendations of the expert panel the Sec-
8 retary shall enter into a grant, contract, or coopera-
9 tive agreement with an organization to conduct inde-
10 pendent research that provides a national analysis of
11 the impact of Head Start programs. The Secretary
12 shall ensure that the organization shall have exper-
13 tise in program evaluation, and research, education,
14 and early childhood programs.

15 “(4) DESIGNS AND TECHNIQUES.—The Sec-
16 retary shall ensure that the research uses rigorous
17 methodological designs and techniques (based on the
18 recommendations of the expert panel), including lon-
19 gitudinal designs, control groups, nationally recog-
20 nized standardized measures, and random selection
21 and assignment, as appropriate. The Secretary may
22 provide that the research shall be conducted as a
23 single comprehensive assessment or as a group of co-
24 ordinated assessments designed to provide, when

1 taken together, a national analysis of the impact of
2 Head Start programs.

3 “(5) PROGRAMS.—The Secretary shall ensure
4 that the research focuses primarily on Head Start
5 programs that operate in the several States, the
6 Commonwealth of Puerto Rico, or the District of
7 Columbia and that do not specifically target special
8 populations.

9 “(6) ANALYSIS.—The Secretary shall ensure
10 that the organization conducting the research—

11 “(A)(i) determines if, overall, the Head
12 Start programs have impacts consistent with
13 their primary goal of increasing the social com-
14 petence of children, by increasing the everyday
15 effectiveness of the children in dealing with
16 their present environments and future respon-
17 sibilities, and increasing their school readiness;

18 “(ii) considers whether the Head Start
19 programs—

20 “(I) enhance the growth and develop-
21 ment of children in cognitive, emotional,
22 and physical health areas;

23 “(II) strengthen families as the pri-
24 mary nurturers of their children; and

1 “(III) ensure that children attain
2 school readiness; and

3 “(iii) examines—

4 “(I) the impact of the Head Start
5 programs on increasing access of children
6 to such services as educational, health, and
7 nutritional services, and linking children
8 and families to needed community services;
9 and

10 “(II) how receipt of services described
11 in subclause (I) enriches the lives of chil-
12 dren and families participating in Head
13 Start programs;

14 “(B) examines the impact of Head Start
15 programs on participants on the date the par-
16 ticipants leave Head Start programs, at the end
17 of kindergarten, and at the end of first grade,
18 by examining a variety of factors, including
19 educational achievement, referrals for special
20 education or remedial course work, and absen-
21 teeism;

22 “(C) makes use of random selection from
23 the population of all Head Start programs de-
24 scribed in paragraph (5) in selecting programs
25 for inclusion in the research; and

1 “(D) includes comparisons of individuals
2 who participate in Head Start programs with
3 control groups (including comparison groups)
4 composed of—

5 “(i) individuals who participate in
6 other early childhood programs (such as
7 preschool programs and day care); and

8 “(ii) individuals who do not partici-
9 pate in any other early childhood program.

10 “(7) CONSIDERATION OF SOURCES OF VARI-
11 ATION.—In designing the research, the Secretary
12 shall, to the extent practicable, consider addressing
13 possible sources of variation in impact of Head Start
14 programs, including variations in impact related to
15 such factors as—

16 “(A) Head Start program operations;

17 “(B) Head Start program quality;

18 “(C) the length of time a child attends a
19 Head Start program;

20 “(D) the age of the child on entering the
21 Head Start program;

22 “(E) the type of organization (such as a
23 local educational agency or a community action
24 agency) providing services for the Head Start
25 program;

1 “(F) the number of hours and days of pro-
2 gram operation of the Head Start program
3 (such as whether the program is a full-working-
4 day full-calendar-year program, a part-day pro-
5 gram or a part-year program); and

6 “(G) other characteristics and features of
7 the Head Start program (such as geographic lo-
8 cation, location in an urban or a rural service
9 area, or participant characteristics), as appro-
10 prium.

11 “(8) REPORTS.—

12 “(A) SUBMISSION OF INTERIM REPORTS.—
13 The organization shall prepare and submit to
14 the Secretary 2 interim reports on the research.
15 The first interim report shall describe the de-
16 sign of the research, and the rationale for the
17 design, including a description of how potential
18 sources of variation in impact of Head Start
19 programs have been considered in designing the
20 research. The second interim report shall de-
21 scribe the status of the research and prelimi-
22 nary findings of the research, as appropriate.

23 “(B) SUBMISSION OF FINAL REPORT.—
24 The organization shall prepare and submit to

1 the Secretary a final report containing the find-
2 ings of the research.

3 “(C) TRANSMITTAL OF REPORTS TO CON-
4 GRESS.—

5 “(i) IN GENERAL.—The Secretary
6 shall transmit, to the committees described
7 in clause (ii), the first interim report by
8 September 30, 1999, the second interim
9 report by September 30, 2001, and the
10 final report by September 30, 2003.

11 “(ii) COMMITTEES.—The committees
12 referred to in clause (i) are the Committee
13 on Education and the Workforce of the
14 House of Representatives and the Commit-
15 tee on Labor and Human Resources of the
16 Senate.

17 “(9) DEFINITION.—In this subsection, the term
18 ‘impact’, used with respect to a Head Start pro-
19 gram, means a difference in an outcome for a partic-
20 ipant in the program that would not have occurred
21 without the participation in the program.

22 “(h) QUALITY IMPROVEMENT STUDY.—

23 “(1) STUDY.—The Secretary shall conduct a
24 study regarding the use and effects of use of the

1 quality improvement funds made available under sec-
2 tion 640(a)(3) since fiscal year 1991.

3 “(2) REPORT.—The Secretary shall prepare
4 and submit to Congress not later than September
5 2000 a report containing the results of the study, in-
6 cluding—

7 “(A) the types of activities funded with the
8 quality improvement funds;

9 “(B) the extent to which the use of the
10 quality improvement funds has accomplished
11 the goals of section 640(a)(3)(B); and

12 “(C) the effect of use of the quality im-
13 provement funds on teacher training, salaries,
14 benefits, recruitment, and retention.”.

15 **SEC. 20. REPORTS.**

16 Section 650 of the Head Start Act (42 U.S.C. 9846)
17 is amended—

18 (1) by inserting “(a) STATUS OF CHILDREN.—
19 ”;

20 (2) by striking “Labor” each place it appears
21 and inserting “the Workforce”;

22 (3) in paragraph (14) by striking “and sea-
23 sonal” and inserting “or seasonal”; and

24 (4) by adding at the end the following:

